PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kummer et al.

Serial No.: 10/687,436

Art Unit: 1755

Filed: 10/16/03

Examiner: C. Koslow

For: Phosphor for Light Sources and Associated Light Source

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Robert F. Clark Reg. No. 33,853

INFORMATION DISCLOSURE UNDER 37 CFR 1.97

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown in the attached form PTO-1449 for consideration by the Examiner.

Please note that EP0936682 is an English language counterpart of WO 98/05078 and US 6,576,930 is an English language counterpart of WO 97/50132 (PCT/DE97/01337).

The filing of this disclosure statement shall not be construed as [1] a representation that a search has been made (37 C.F.R. §1.97(g)), [2] an admission that the information cited is, or is considered to be, material to patentability or [3] that no other material information exists.

Please charge Deposit Account <u>15-0685</u> in the amount of <u>\$180.00</u> for the filing of this information disclosure. This page is being submitted in triplicate for fee purposes.

Respectfully submitted,

Robert F. Clark

Reg. No. 33,853.

OSRAM SYLVANIA Inc.

100 Endicott St.

Danvers, MA 01923



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> INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	1

ATTY. DOCKET NO. SERIAL NO. 99P5545CON1

10/16/2003

APPLICANT

Kummer et al.

FILING DATE

GROUP

10/16/2003

1755

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	6,576,930	6/10/03	Reeh et al.	257	98	12/7/00	
	6,504,179	1/7/2003	Ellens et al.	257	88		

FOREIGN PATENT DOCUMENTS

EXAMINER		-	·		SUB-	TRANSI	ATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS	CLASS	YES	NO
	JP 49-3629,	1/28/74	Japan	C09K	1/68		х
	JP 49-3631 ·	1/28/74	Japan	C09K	1/68		х
	WO 97/50132:	12/31/97	PCT	H01L	33/00	Х	
	EP 0 208 713	3/7/90	Europe	C09K	. 11/80		
	B1			, - ,	•		
	GB 1,600,492,	10/14/81	Great Britain	C09K	11/475		
	EP 0 124 175	7/30/86	Europe	н01Ј	61/44		
	B1						
	EP 0 936 682.	8/23/00	Europe	H01L	33/00		
	B1						
	EP 0 142 931,	5/29/85	Europe	C09K	11/77		
	GB 2,000,173	1/4/79	Great Britain	C09K	11/00		
	WO 98/05078 i	5/5/98	PCT	H01L	33/00	X	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.